

Equality, Diversity and Inclusion Policy

Originator:	Policy and Strategy Team
Approval date:	9 th November 2017
Review date:	November 2019

1	Introduction
1.1	Carroll Waste is committed to promoting equality, diversity and inclusion in everything it does from its employment practices through to the services it provides to its clients and customers.
1.2	This Policy sets out how Carroll Waste will meet or exceed its legal requirements as outlined primarily in the Equalities Act 2010 and the Human Rights Act 1998.
1.3	<p>Application of the Policy also supports the delivery of the Group Commercial Strategic Plan, including our aim to:</p> <ul style="list-style-type: none"> • To be a vehicle to deliver profit making services that it may not be appropriate to provide via the Community Benefit Society arm of the Group • To create new financial capacity to further the aims of the Group • To ensure that the Registered Providers (RP's) in the Group consistently receive excellent products and services that meet all of their requirements • To ensure that the products and services purchased by the RP's are delivered at a lower cost than could be provided by any external provider • To strengthen the financial resilience of the Group and ultimately protect the RP's from changes in the external operating environment that might otherwise impact adversely on their Business Plans.
1.4	As part of Carroll Waste's commitment to embed equality, diversity and inclusion in everything it does, each Carroll Waste Policy has standard clauses on 'access and communication' and 'equality, diversity and human rights' as set out below:
1.4.1	Access and Communication
1.4.1.1	Carroll Waste is committed to ensuring that our services are accessible to everyone. Carroll Waste will seek alternative methods of access and service delivery where barriers, perceived or real may exist, that may make it difficult for people to work for the organisation or use its services.

1.4.2	Equality, Diversity and Human Rights
1.4.2.1	Carroll Waste is committed to ensuring that no person or group of persons will be treated less favourably than another person or group of persons and will carry out its duty with positive regard for the following core strands of equality; Age, Disability, Gender, Race, Transgender, Sexual Orientation and Religion and/or Belief, Civil Partnership, Marriage, Pregnancy and Maternity.
1.4.2.2	Carroll Waste also recognise that some people experience disadvantage due to their socio-economic circumstances, employment status, class, appearance, responsibility for dependants, unrelated criminal activities, being HIV positive or with AIDS, or any other matter which causes a person to be treated with injustice.
1.4.2.3	Carroll Waste will also ensure that all services and actions are delivered within the context of current Human Rights legislation. Staff and others with whom we work, will adhere to the central principles of the Human Rights Act (1998).
1.5	<p>This Policy should read in conjunction with:</p> <ul style="list-style-type: none"> • Carroll Waste Recruitment Policy • Carroll Waste Bullying Grievance and Harassment Policy • Carroll Waste Attendance Policy

2	Statement of Intent
----------	----------------------------

2.1	Carroll Waste aims to attract and retain the best staff and will achieve this by being fair and consistent in its recruitment and employment practices, ensuring there are no real or perceived barriers that would prevent or deter any group with protected characteristics from working with it.
2.2	Carroll Waste will take a zero tolerance approach to all forms of bullying and harassment in the workplace including behaviour from or directed towards our staff.
2.3	Through staff training and awareness raising and close partnership working, Carroll Waste will ensure the services it delivers to its clients and customers is equitable, fair and meets the needs of individuals as far as is reasonably practical.
2.4	As a significant employer and presence in the local economies, Carroll Waste will endeavor to create local job opportunities, support apprenticeship schemes and make a positive contribution to the communities in which it operates.
2.5	Carroll Waste will ensure that others it works with, through its selection, management of sub-contractors and supply chain adheres to sound equality, diversity and inclusion principles.

3	Policy
3.1	<p data-bbox="280 190 574 224">Recruitment Practices</p> <p data-bbox="124 268 1465 347">3.1.1 When new vacancies become available as part of the ‘review process’ for the post, Carroll Waste will assess all job descriptions and roles to ensure:</p> <ul data-bbox="327 392 1465 694" style="list-style-type: none"> <li data-bbox="327 392 1465 504">• The role does not lead to direct or indirect discrimination (whereby a person is treated less favourably than another person because of a protected characteristic as defined by the Equalities Act 2010) <li data-bbox="327 504 1465 616">• The job descriptions, personal specifications and adverts do not contain any language or inferences that would put a person with a protected characteristic at a disadvantage <li data-bbox="327 616 1465 694">• Job descriptions and personal specifications accurately reflect the requirements of the role <p data-bbox="124 739 1465 884">3.1.2 Carroll Waste may consider the need to objectively justify roles on a case-by-case basis and amend its recruitment practices accordingly. In order to address an imbalance of female workers in the sector, Carroll Waste may on occasion may tailor its advertisement of vacant posts to encourage and accommodate female applicants for certain vacancies.</p> <p data-bbox="124 929 1465 1041">3.1.3 As per the requirements of the Equalities Act 2010, Carroll Waste will not ask any pre-employment health questions on any ‘on-line’ or ‘hard copy’ application forms. It will, however, ask disability related questions for the purposes of:</p> <ul data-bbox="327 1086 1465 1288" style="list-style-type: none"> <li data-bbox="327 1086 1465 1131">• Monitoring the numbers of disabled applicants <li data-bbox="327 1131 1465 1209">• To assist in the running of its ‘disability confidence’ scheme, whereby all disabled applicants that meet the minimum job criteria will be guaranteed an interview <li data-bbox="327 1209 1465 1288">• To make ‘reasonable adjustments’ to the recruitment process at assessment centre and / or interview where these are requested in advance <p data-bbox="124 1332 1465 1444">3.1.4 Carroll Waste will monitor the profile of all job applicants to ensure there are no real or previewed barriers for any group with protected characteristics from working with Carroll Waste.</p> <p data-bbox="124 1489 1465 1668">3.1.5 Where it is recognised that there is a lack of applicants from certain groups with protected characteristics, Carroll Waste will review our job advertising procedures to ensure greater take up of applications from under-represented groups. This may include targeted advertising in specialist publications or making support and advocacy groups aware of vacancies.</p> <p data-bbox="124 1713 1465 1825">3.1.6 Carroll Waste will strive to provide training to all Managers and other staff involved in recruitment practices to ensure they have due regard for equalities considerations when interviewing and selecting prospective candidates.</p> <p data-bbox="124 1870 1465 1982">3.1.7 This will include being mindful of not using any discriminatory language or lines of questioning whilst interviewing or undertaking assessment centers and ensuring all decisions on successful candidates are consistent and are recorded.</p>

3.1.8	Any request for references will only be made once a decision by the recruitment panel (which will always include a representative from the People and Learning Team) has been made to ensure objectivity.
3.1.9	Further details on the Carroll Waste’s recruitment process are available in the Carroll Waste Recruitment Policy.
3.2	Working Practices
3.2.1	Staff Profile Monitoring
3.2.1.1	Carroll Waste requests that all employees provide ‘equalities monitoring information’ on a voluntary basis. Carroll Waste uses this information to: <ul style="list-style-type: none"> • To gain an understanding of the ‘make-up’ of its workforce • Identify any under-represented groups and to inform actions to improve expressions of interest from these groups • Ensure all support needs are being met • Ensure recruitment and selection processes are fair and effective
3.2.2	Human Resources Policies
3.2.2.1	Carroll Waste values staff as our greatest asset and strive to ensure that whilst working for Carroll Waste they obtain job satisfaction and reach their full potential. Central to achieving this aim is a comprehensive suite of human resources Policies which are regularly reviewed with staff input. Carroll Waste maintain the human resources Policies to: <ul style="list-style-type: none"> • Ensure all staff, regardless of protected characteristics are treated fairly and consistently • Ensure Carroll Waste meets all legal requirements in employment practices • Ensure staff are aware of their employment rights including any company enhancements that exist with improved terms and conditions • Ensure staff know the options that are available to them to take remedial action and receive support if they believe any of their employment rights have been breached in any way (including those relating to equalities legislation)
3.2.2.2	Whilst all of the Carroll Waste People and Learning Policies are designed to support our aim of being a fair and equitable employer the following Policies have particular relevance in meeting equalities duties: <ul style="list-style-type: none"> • Bullying, Grievance and Harassment Policy: - setting out Carroll Waste zero tolerance stance to all forms of harassment in the workplace or associated with work related activities. This Policy has close links with the Disciplinary Policy and Carroll Waste commitment to fully investigate any allegations of harassment on any grounds and take action where staff are found to be responsible for these types of behaviour • Family Friendly Policy:- setting out the provisions Carroll Waste has in place to facilitate maternity, paternity and adoption arrangements • Special Leave and Flexible Working Policy:- Including details of Carroll Waste response for dealing with flexible working requests (as outlined in the ‘Children and Families Act 2014’)

3.2.3	<p>Reasonable Adjustments</p>
3.2.3.1	<p>Carroll Waste will meet the requirements of the Equalities Act 2010 when considering any ‘reasonable requests’ for adjustments to the work place or working practices to ensure disabled staff are not put at a substantial disadvantage. This may include:</p> <ul style="list-style-type: none"> • Removing or altering physical features of the workplace • Providing auxiliary aids and equipment • Altering working practices or the location where work takes place
3.2.3.2	<p>Making reasonable adjustments will apply to any new member of staff with a disability but will also apply to any existing member of staff that develops a disability during the course of their employment with Carroll Waste.</p>
3.2.4	<p>Provisions for Transgender Employees</p>
3.2.4.1	<p>Carroll Waste will ensure that it provides a working environment where transgender employees are supported and do not suffer any forms of bullying, harassment or detriment as a result of being transgender or the transitioning process (where steps are taken by an individual in order to live in the gender they identify as).</p>
3.2.4.2	<p>Carroll Waste appreciate there are many different routes that individuals may take in order to transition and will offer support to all staff undergoing or considering this process (both those that choose to undergo medical procedures and treatments and those that do not).</p>
3.2.4.3	<p>In order to provide the most appropriate form of support it will ne be necessary for the individual to inform their line manager of their intentions. This is so support can be offered prior, during and after a transition process.</p>
3.2.4.4	<p>The line manager will keep the staff members trans status confidential and will work with the individual and the People and Learning Team on developing a personalised plan, outlining a pathway for how the individual wants the process to be handled.</p>
3.2.4.5	<p>This plan will set out key milestones and timescales including how and when colleagues are to be informed of the individual’s decision and implementation of practical arrangements, including system updates, name change, facility use etc.</p>
3.2.4.6	<p>Where individuals require time off during the transitioning process e.g. to attend medical appointments they will be entitled to company and / or statutory sick pay and leave provisions, as outlined in the Carroll Waste Attendance Policy and as appropriate, according to length of service.</p>
3.2.4.7	<p>Carroll Waste will monitor any absence periods associated with the transitioning process and require staff to comply with the normal reporting requirements. This type of absence will be recorded separately from normal absence monitoring and will not be used as trigger points for disciplinary action (although all other absence monitoring requirements will still apply).</p>
3.2.4.8	<p>Carroll Waste will provide specialist training for any line manager whose reportees are transitioning, so that they can provide the most appropriate support to individuals and will ensure general awareness raising is available to wider colleagues.</p>

3.2.4.9	Carroll Waste also advise new employees that have previously transitioned to inform their line manager and the People and Learning Team at the point of recruitment so on-going support can be offered.
3.2.5	Equality, Diversity and Inclusion Training
3.2.5.1	<p>In order to ensure that staff understand the importance of equality, diversity and inclusion to achieving Carroll Waste mission and that it is in keeping with its values, a comprehensive and mandatory programme of equality training will be provided. The interactive training will cover:</p> <ul style="list-style-type: none"> ● Exploring personal understanding of equality and diversity ● Effects of unfair treatment ● Exploring perceptions and stereotyping ● Challenging inappropriate behaviours ● Behaviour and language in the work place ● Dealing with conflicting views
3.2.6	Links to Corporate Social Responsibility
3.2.6.1	<p>To ensure equality, diversity and inclusion remains central to strategic planning and operational delivery of services, Carroll Waste have established the Corporate Social Responsibility Steering Group with staff representation from all teams. The remit of the Group includes:</p> <ul style="list-style-type: none"> ● Reviewing customer insight information and exceptions reporting on any issues that impact on groups with protected characteristics in differential and adverse ways and suggesting remedial actions ● Planning and organising equality, diversity and inclusion events and activities including cultural awareness raising ● Review the impact of new legislation on Carroll Waste activities ● Set and review progress on achieving equality, diversity and inclusion objectives ● Drive external equality, diversity and inclusion accreditations ● Give feedback on team requests for additional equalities training or information
3.2.7	Employee Assistance Programme
3.2.7.1	<p>In order to support staff in all aspects of their personal and working lives, Carroll Waste have developed an ‘Employee Assistance Programme’ whereby staff can seek confidential advice provided by an independent expert advisor, including any issues that may be related to a protected characteristic. Staff wanting to access the service can do so anonymously by contacting www.workplaceoptions.co.uk.</p>
3.3	Business Practices
3.3.1	<p>Carroll Waste are keen to work with ‘like-minded businesses’ and to spread good practice in equality, diversity and inclusion throughout its supply chain and in sub-contractor selection. Through its procurement and contractor selection processes, Carroll Waste will ensure others it chooses to work with meet the standards it expects in this area by:</p>

3.3.1.1	Giving preferential weighting in procurement selection procedures to organisations that can demonstrate a strong commitment to equality and diversity in their employment and service delivery practices
3.3.1.2	Ensuring organisations have their own policies and procedures for equality or are willing to abide by the principles outlined in Carroll Waste documents
3.3.1.3	Carroll Waste expects all visitors to its buildings to demonstrate appropriate behaviours and to show respect for others and will not tolerate any behavior, which could be interpreted as being discriminatory, causes harassment or offence in any way.
3.3.1.4	To reinforce this message Carroll Waste have a small disclaimer on the back of visitor identification badges outlining the behaviours Carroll Waste will expect. Failure to adhere to this expected code of behaviour may result in, warnings being given, expulsion from Carroll Waste premises, restrictions on future contacts or civil actions depending on the severity of each incident, on a case by case basis.
3.3.2	Modern Day Slavery Act
3.3.2.1.	Carroll Waste is committed to ensuring that all business activities are delivered in compliance with the Modern Slavery Act 2015. In order to achieve this, Carroll Waste has put provisions in place to tackle modern day slavery, which include ensuring that staff are protected and treated fairly during their time of employment with the organisation.
3.3.2.2	Carroll Waste will continuously monitor any arrangements it has with contractors and supply chains to ensure they act in accordance with the Modern Slavery Act when doing business with us.
3.4	Service Provision
3.4.1.1	<p>Carroll Waste has a proud track record of delivering excellent customer services that are tailored to individual needs. Where Carroll Waste provide services on behalf of a client, it will use customer profile information to deliver customer tailored services to meet individual needs i.e.:-</p> <ul style="list-style-type: none"> ● Sending communications in preferred formats ● Provision of additional support where it is required e.g. for elderly or disabled customers ● Respect for religious customs when visiting properties
3.4.1.2	Carroll Waste will ensure that all its office locations are fully accessible to those with disabilities and will involve customer representatives or specialist user groups in carrying out accessibility audits.
3.4.1.3	Where Carroll Waste provides services on behalf of a public body, it will adhere to the client’s principles of Corporate Social Responsibility to support them comply with the public duty (i.e. Registered Providers and Local authorities).

4	Implementation	
4.1	All Carroll Waste staff have a responsibility for the effective operation of the Carroll Waste Equality, Diversity and Inclusion Policy.	
4.2	The Corporate Social Responsibility (CSR) Steering Group will have responsibility for reviewing the Policy and for setting and monitoring progress against equality objectives.	
5	Performance	
5.1	Performance against the equality objectives will be monitored by the CSR Steering Group.	
5.2	Carroll Waste Board will receive performance reports on the following equality, diversity and inclusion issues: <ul style="list-style-type: none"> Any breaches of equality legislation 	
6	Review	
7.1	The Carroll Waste Equality, Diversity and Inclusion Policy will be reviewed every two years from the date of last approval. The review process will ensure its continuing suitability, adequacy and effectiveness or as required by the introduction of new legislation or regulation that impacts on Carroll Waste’s obligations in regard to equality, diversity and inclusion, changes to Carroll Waste business practices or in the light of management system audits.	
7	Equality Impact Assessment	
8.1	Was a full Equality Impact Assessment (EIA) required?	Yes
7.2	When was EIA conducted and by who?	The EIA conducted by the Policy Writer and Policy and Strategy Manager in 2016 is still relevant for this Policy review.
7.3	Results of EIA	<p>The measures that Carroll Waste has in place to ensure compliance with the Equality Act, 2010 and Humanity Rights Act, 1998 is sufficient to mitigate the risks of differential impact on any group with protected characteristics. The EIA contains the following recommendations to continue:</p> <ul style="list-style-type: none"> Promoting the equality, diversity and inclusion to all staff and partners Providing E&D training to all staff

8		Scheme of Delegation	
8.1	Responsible officer for approving and monitoring implementation of the Policy and any amendments to it	Managing Director- Carroll Waste	
8.2	Responsible officer for formulating Policy and reporting to committee on its effective implementation	Managing Director- Carroll Waste	
8.3	Responsible officer for formulating, reviewing and monitoring implementation of procedures	Managing Director- Carroll Waste	
9		Amendment Log	
Date of revision:	Reason for revision:	Consultation record:	Record of amendments:
Not Applicable-this is the first version of the Policy for Carroll Waste	Not applicable	See section 6	Not Applicable